

Pulmonary Route of Targeted Ivermectin Delivery to SARS-CoV-2 in Lungs of COVID-19 Patients Based on Nanotechnology Approach

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Abstract

Although it is evidenced to exhibit virucidal activity against SARS-CoV-2, ivermectin has not been recommended for COVID-19 therapy due to the negative result in clinical trials. It is predicted that oral administration of the conventional formulation is unsuccessful in achieving the minimum inhibitory concentration in the alveolar lining fluid of COVID-19 patients. The development of lung-targeting drug delivery systems needs to be performed. Several studies to develop the inhalation delivery of ivermectin have been published. This review aims to examine the potential of delivery carriers and technology to administer ivermectin via the pulmonary route to reach the minimum inhibitory concentration against SARS-CoV-2 revealed in *in vitro* studies. Nebulizer technology of solution, nanoemulsion, or nanomicellar formulation, as well as Dry Powder Inhaler of engineered particle powder, freeze-dried product of nanostructured lipid carriers or solid lipid nanocarriers, has the potential to deliver ivermectin, achieving alveoli in sufficient concentration equal to about 5 μM of *in vitro* result. This review can be a point of view in conducting research to develop ivermectin target-oriented drug delivery systems.

Keywords: inhalation, targeting, ivermectin, COVID-19, pulmonary, nanocarrier

Introduction

In 2020, about one year since the COVID-19 outbreak occurred, ivermectin was proven in an *in vitro* setting to inhibit the growth of SARS-CoV-2, the responsible virus of the disease (1). However, up to now, ivermectin has not been recommended for COVID-19 therapy due to the unavailability of a clinical trial verifying the benefit of the drug (2,3). The clinical outcomes agree with pharmacokinetics data showing that the maximum plasma concentration after oral administration of ivermectin at a dose of 600 $\mu\text{g}/\text{kgbw}/\text{d}$ for 3 days falls under the concentration in *in vitro* studies (1,4). It is predicted that the therapeutic plasma concentration, which is equal to that in *in vitro* studies, can be reached by increasing the dose to 1200 mg, about 100-fold higher than the maximum Food and Drug Administration-approved dose of ivermectin (5), by an assumption that alveolar, the main location of the SARS-CoV-2, and plasma show the same concentration in equilibrium.

Following the recommended dose, a giant tablet needs to be prepared, especially if the additional excipients are taken into account. In addition, the solubility of ivermectin in the gastrointestinal fluids limits the dissolved fraction of the administered dose, resulting in the drug being wasted in the feces. Moreover, the other barriers to oral administration of ivermectin,

as listed in Table 1, also prevent the conventional oral drug delivery from reaching alveoli in an adequate drug level to inhibit SARS-CoV-2. Therefore, although oral delivery is the most

common route of administration due to its convenient and self-administration aspect, alternative routes should be considered.

Table 1. Barriers to oral delivery of ivermectin

Site	Process	Problem	Ref.
Gastrointestinal medium	dissolution	The dissolution process is in non-sink condition and reaches saturation after 30 minutes at 4 or 12 µg/ml in water or PBS pH 6.8, respectively.	(6)
Gastric medium	degradation	More than 50% of ivermectin is degraded for 2 h in 0.1 M HCl.	(6)
Gastrointestinal membrane	permeation	Ivermectin efflux is processed by P-gp.	(7,8)
Liver	biotransformation	Ivermectin is first-pass metabolized by CYP3A4 in the liver.	(9)
Blood circulation	distribution	Ivermectin is strongly bound to albumin	(10)
Adipose tissue	distribution	Ivermectin is deposited intensively in adipose tissue	(11)

Inhalation (lung/pulmonary) drug administration promises the ability to deliver ivermectin to the target of action. This route of delivery eliminates all processes in Table 1 since ivermectin is transferred directly from the inhalation device to the lungs of patients. The mild pH, the unavailability of digesting enzymes, and the availability of natural surfactant in the alveolar lining fluid are beneficial for many drugs, including ivermectin, to reach the target. To develop this approach, a basic knowledge of the inhalation drug delivery route and carrier systems should be at hand as the basis for conducting the necessary research. This review discusses this delivery route as a system that has the potential to deliver ivermectin to reach alveoli at a sufficient concentration for inhibiting SARS-CoV-2 proliferation.

Preclinical and Clinical Trial of Ivermectin Administration

The success story of the in vitro test of ivermectin to reduce SARS-CoV-2 proliferation was continued by in vivo activity evaluation, the preclinical studies using animal models. The experiments were conducted using either SARS-

CoV-2-infected animals or other coronaviruses showing the similarity with the COVID-19 responsible virus, for instance Mouse Hepatitis Virus (MHV). The benefit of ivermectin oral administration before SARS-CoV-2 infection to reduce the viral load was reported in the hamster model. This outcome was accompanied by other advantages, including a decrease in pulmonary disease, inhibition of inflammatory cytokines expression, and a reduction in the severity of pathological symptoms of COVID-19. The positive clinical outcome was also evaluated in the curative of COVID-19 hamsters, even though the viral load did not affect the ivermectin remedy. The reduction in viral load by ivermectin treatment was evaluated in MHV-infected mice (12–14). These in vivo data suggested that clinical trials are the right effort to improve ivermectin levels for the treatment of COVID-19.

Ivermectin has been administered orally in several clinical trials, as reported in clinicaltrials.gov. For searching the conducted clinical trials, the words: "Covid19", "SARS-CoV2 Infection", and "Oral ivermectin" were filled into the sections of "Condition/disease", "Other terms",

and “Intervention/treatment”, respectively. Up to now (June 25th, 2025), about 36 studies were conducted from April 24th, 2020, to August 15th, 2023. Of these trials, 16 studies have been completed, 1 study is still ongoing, while the others (approximately 53%) have been terminated, withdrawn, or have an unknown status. The study results were posted by 6 completed clinical trials, which were only 2 studies comparing the outcomes of oral ivermectin therapy versus placebo. It is concluded from these two studies that 400 µg/kg body weight as a single dose or daily for 3 days did not affect the time of recovery, negative PCR result, for instance, of mild to moderate COVID-19 patients (15,16). This data is in line with the barriers of ivermectin oral delivery as listed in Table 1.

Replacing the word “oral ivermectin” in the intervention section with “ivermectin inhaled” or “inhalation ivermectin” retrieved 3 trials. Only 2 ivermectin inhalation administrations were conducted, which have an “unknown” status. The completed trial did not deliver ivermectin by inhalation. This data suggested that the inhalation drug delivery system of ivermectin has not been studied much, making this topic interesting to discuss.

Administration Technology of Inhalation Drug Delivery System

Pulmonary administration promises a higher accumulation of ivermectin in the lung because the drug is delivered directly to the site of action. After reaching the surface of the lungs, the delivered drug may undergo absorption into the systemic circulation, but for ivermectin used to treat COVID-19, the drug is expected to remain in the lungs, so-called pulmonary (inhalation) drug delivery for local therapy. Although the pharmacokinetics is quite simple, the technology behind the deposition of the drug or drug in nanocarriers onto the surface of the alveoli deserves considerable attention. The final target of the technology is to achieve a high level of deposition of the internal phase of aerosol, either solid or liquid state.

At least, there are three technologies of aerosolization as illustrated in Figure 1. 1). Nebulization technology (nebulizer) converts the drug solution or suspension in a non-propellant solvent, preferably water, into the liquid aerosol (cloud) using various energy, including air jet and ultrasonic vibration. In this technology, the patient is in a passive state, allowing it to be adopted by critical patients, even in the state of fainting and coma. 2). A pressurized metered-dose inhaler produces droplets from a precise volume of liquid containing the drug as a solution or suspension using the kinetic energy of pressure derived from the boiling process of propellant. The propellant is a gaseous state material at room temperature and normal atmospheric pressure. The high pressure in the canister is sufficient to convert the material into a liquid state in which the drug can be dissolved or dispersed with or without additional solvent. Setting the canister in the auxiliary device causes the liquid dosage form of the drug to move into the metering chamber. Connecting the metering chamber to the atmosphere by pressing the actuator reduces the pressure, resulting in the rapid conversion of liquid propellant into a burst of gas containing drug-loaded liquid droplets. 3). Dry powder inhalers provide solid-state drugs or drugs in carriers that can be converted to dust using the patient’s inhalation energy or using additional energy (17).

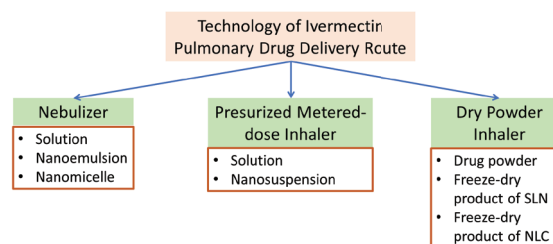


Figure 1. Classification of aerosolization technology and potential preparation for ivermectin inhalation drug delivery system.

In defining the advantages and disadvantages of each aerosolization technology, several points of view can be applied. Patients in an unconscious state (fainting) can only re-

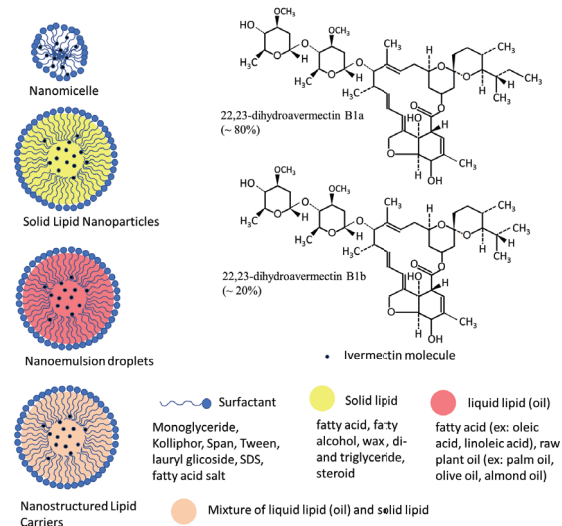


Figure 2. Schematic representation of potential nanocarriers as inhalation formulations. The molecular structure of ivermectin and examples of materials for nanocarrier preparation are also displayed.

ceive a nebulizer, since in pMDI, the patients should control the actuation and inhalation, while in DPI, patients have to expend more energy on inhalation to aerosolize the powder. Concerning the percentage of delivered to administered drug (in the formulation), jet (conventional) nebulizer shows the worst. The nebulizer administers the drug continuously, while the patient alternately inhales and exhales. While the patient exhales, the administered drug is wasted. This technology also requires more time to prepare the tools for dosing and to proceed with medication administration. Moreover, regarding the chemical stability of the drugs, DPI shows the best performance due to its solid properties. The liquid dispersed or dissolved drugs, as in nebulizer and pMDI, are easier to degrade than drugs in the solid state. Finally, based on portability and dose precision, pMDI is preferable. The metering chamber set up on the device ensures the dose of the drugs (18) the inhaler device, and the patient. However, the biggest single problem that accounts for the lack of desired effect or adverse outcomes is

the incorrect use of the device due to lack of training in how to use the device or how to coordinate actuation and aerosol inhalation. This review summarizes the structural and mechanical features of aerosol delivery devices with respect to mechanisms of aerosol generation, their use with different formulations, and their advantages and limitations. A technological update of the current state-of-the-art designs proposed to overcome current challenges of existing devices is also provided.”;”container-title”.”Medical Devices (Auckland, N.Z..

The First consideration for preparing an inhalation formulation is the size of the generated aerosol droplets in relation to the target of deposition. Liquid droplets or particles larger than 5 μm fail to penetrate the filtering mechanism of the respiratory tract, especially in the curvature airways connecting the nasopharynx to the oropharynx, due to the inertial impaction mechanism [19]. This results in aerosol droplet deposition in the upper respiratory system. For targeted delivery of ivermectin to the lungs, the aerosol should be lower than 5 μm to access the alveoli and undergo two deposition mechanisms, namely gravitational sedimentation and diffusion deposition, which are determined by their size categories as discussed by Darquenne. In short, the small and large size categories experience diffusion deposition and sedimentation deposition, respectively, while the medium size categories were exhaled with expiration air, which is an unexpected condition [20]. The size limits of the three categories vary between formulation [21,22], which is likely dependent on other factors, including density and surface properties of the particles. Therefore, research should be conducted specifically. Moreover, the properties of generated droplets or particles are also determined by the aerosolization technology, as categorized in Figure 1. In the following sections, such methods of aerosolization are discussed with regard to carrier formulations applicable for each method. The description of carriers and examples of materials to prepare the carrier are displayed in Figure 2.

Nebulizer of ivermectin

An aqueous solution of nebulizer formulation is more efficient in delivering the drug than suspensions. However, since ivermectin exhibits poor water solubility, several attempts are required to develop aqueous solutions of ivermectin, including the introduction of co-solvents or complexing agents, and nanocarrier systems. Ivermectin solubility in water increased dramatically from about 0.005 mg/ml to 5 mg/ml (equal to about 5.7 mM) by introducing 10% propylene glycol or 20% N-methylpyrrolidone (23). Using this solution, the administered volume of nebulizer (V_{ad}) can be calculated using equation 1, in which the ivermectin concentration in the formulation (C_f) is 5700 μM , the targeted concentration is the effective virucidal concentration (C_{eff} , 5 μM (1)), the volume of alveolar lining fluid (V_{alf}) is 36 ml (24) and the administration efficiency for nebulizer (E_{ad}) is about 60% (25). The calculated volume of administration, i.e. 52.7 μl , is much lower than the regular nebulizer volume of about 3 ml (26). Therefore, due to toxicity issues, it is possible to reduce the co-solvent concentration, together with the consequence decrease in ivermectin concentration in the formulation and an increase in volume of application.

$$V_{ad} = \frac{V_{alf}C_{eff}}{C_f \cdot E_{ad} - C_{eff}} \quad (\text{equation 1})$$

The development of water-based nanocarrier dispersion formulations, such as nanoemulsion/microemulsion and nanomicelle dispersions, for instance, are potential systems nanocarriers, while nanoemulsion preconcentrate, namely Self-Nanoemulsifying Drug Delivery System (SNEDDS) showing a higher loading capacity than nanoemulsion, could not be used due to its high viscosity, making it difficult to be aerosolized. Several poorly water-soluble drugs have been successfully delivered to the lungs as nanoemulsion formulations, including ibuprofen, budesonide, docetaxel, and amphotericin B (27–30). The water solubility of drugs

could also be enhanced by the introduction of various surfactants at concentrations above the critical micelle concentration. Nebulization of water-based nanomicelle dispersion has been performed to deliver budesonide, itraconazole, and amphotericin B to the surface of the alveoli (31–33). The formulation of ivermectin as a nanoemulsion as well as a nanomicelle dispersion increases its solubility, making it possible to prepare aqueous-based dosage forms at high concentration (34–36). Ivermectin could be easily dissolved in the oil phase of nanoemulsion, the droplets, due to its lipophilicity. In nanomicelle dispersion, there is not any oil introduced in the formulation. At a high concentration, above the critical micelle concentration, surfactant molecules produce micelles, where ivermectin can be incorporated in the micelle nucleus, which is a lipophilic zone constructed from the tails of surfactants. These events promise the possibility to prepare a water-based solubilized solution of ivermectin in the concentration of 5473 μM (36). To reach the antiviral concentration of 5 μM at a normal volume of SMV, 3 ml, about 65 μM of ivermectin in the dosage form is required. This concentration is much lower than the highest possible concentration of ivermectin, either in nanoemulsion or in nanomicelle preparations.

Pressurized metered dose inhaler (PMDI)

The PMDI formulation contains propellant as the main component and additional excipients, including co-solvents and surfactants. Co-solvent is needed to increase drug solubility in the propellant if solution formulation is expected. However, if solution formulation in the expected concentration is difficult (or impossible) to achieve, an alternative formulation, namely a suspension, may be selected. In this case, a surfactant is needed as a dispersing agent. The low solubility of surfactants in safer propellant, namely hydrofluoroalkane (HFA), causes co-solvents to be needed in suspension formulations (37,38).

Micronized ivermectin can be formulated into PMDI suspension using HFA propellant,

aided by ethanol as a co-solvent in a concentration of about 18% and 0.055% oleic acid as a surfactant. Unfortunately, there is not any sufficient information regarding droplet size. However, the high nasopharyngeal deposition compared to the lungs indicates that the droplet is likely to be above the optimal size (39). Two arguments are proposed to support this prediction: (a) As mentioned in the material, the ivermectin particles contained in the droplet are in micrometer size; (b) The addition of ethanol to HFA reduces the vapor pressure of the propellant, thereby reducing the atomization force. Consequently, the initial droplet size increases, resulting in a larger residual phase containing oleic acid and ivermectin once the propellant evaporates (38). Therefore, to achieve optimal droplet size, ivermectin particles should be reduced to nanosized before being suspended in PMDI propellant.

Previous studies formulated ivermectin as PMDI solutions using various propellants, including two types of HFA and alkanes containing 3-5 carbon atoms. Solutions at concentrations of up to 1714 μM are likely achieved due to the high co-solvent concentrations of ethanol and isopropanol, i.e. up to 50% (40). However, it is predicted that the low portion of propellant leads to a high droplet size and, in turn, the product may only be able to accumulate ivermectin in the nasal and nasopharyngeal regions, not in the lungs.

Dry powder inhalers (DPI)

Pulmonary administration of ivermectin powder without nanocarrier systems is possible to reach an effective antiviral concentration of the drug in alveolar lining fluid. The ivermectin water-solubility of 5 mg/L (35) is equal to about 5.7 μM , which might be increased by naturally occurring endogenous surfactants available in alveolar lining fluid, namely dipalmitoyl phosphatidylcholine (41). However, the powder properties of ivermectin might hinder the selection of such a formulation. Ivermectin particle size ranges from 90-250 μm (42), which is preferred for deposition in the upper respiratory

region. Particle engineering, for example jet milling, ball milling, spray drying of pastas or solutions, or controlling the crystallization process, can be applied to reduce the size to the optimal aerodynamic size of 1-5 μm . These methods have been successfully applied to other drugs, for example reducing ibuprofen from 110 μm to 1.7-5.1 μm by jet milling (43) and *Vinca rosae* leaf particles from about 2007 μm to 0.75 μm in size by ball milling (44). Crystallization of griseofulvin by pumping a drug solution in acetone into water containing an immersed vibrator probe yielded 4.6 μm fine particles (45). Ivermectin can be micronized by these techniques to produce the desired size. However, often the fine particles of hydrophobic substances form aggregates, resulting in poor respirable properties. Leucine can be added to modify the surface properties and surface energy of fine particles, hindering the aggregation (46). During the preparation of this manuscript, a spray drying process of ivermectin solution in isopropyl alcohol reduced the particle size from 49.7 μm to 0.88 μm . The crystal habitus of the column was also converted to a sphere, which is a better shape for inhalation administration. Co-processing with pre-treated lactose, suspended in a solution of ivermectin in isopropyl alcohol, at an ivermectin percent ratio of 5%, produced larger particles in the optimal range for inhalation. In an in vitro evaluation, about 10 mg of formulation containing about 5 mg of ivermectin was successfully delivered. Only about 20% of ivermectin remained in the capsule and device, which indicated that about 400 μg of ivermectin was possibly dispersing and saturating the alveolar lining fluid, achieving the minimum inhibitory concentration (MIC) (47). In another study, L-leucine was used as a co-processing agent in the spray drying technique at a concentration of 10%, and the resulting properties were compared to products without co-processing. The particle sizes of the products obtained were in the range of 1.7 – 2.2 μm , either with or without co-processing. However, co-processing with L-leucine increases the product yield by reducing product deposition on the wall of the

spray dryer, thereby increasing the dry powder in the collector (48).

An alternative approach, as an addition to particle engineering, nanocarrier systems can be selected. For DPI, the nanocarriers must be solid, and solid lipid nanoparticles (SLN) can be selected due to their high capacity to load ivermectin. Nanostructured lipid carrier (NLC) might show higher drug loading and release capabilities, but the wet properties of the lipid mixture need to be considered. Typically, SLN and NLC are formulated and administered as liquid colloidal dispersions for oral, topical, or injection, but actually it is also possible to use them in pulmonary application (49). For inhalation delivery as DPI, the freeze drying process of SLN and NLC colloidal dispersions with the addition of cryoprotectant (50–52) might produce respirable solids. Several lipids can be formulated as lipid components of SLN inhalation powder, including stearic acid, palmitic acid, cholesteryl myristate, and Compritol®, which were used successfully to deliver the antituberculosis drugs rifampicin and ethambutol previously (53–56). Regarding NLC, a mixture of solid lipid stearic acid and liquid lipid oleic acid can be formulated as a dry inhalation formulation to deliver ciprofloxacin (57). It is possible to replace such loaded drugs with ivermectin.

Several SLN and NLC formulations of ivermectin were successfully prepared using various lipids, including the solid lipid palmitic acid and Precirol®, and the liquid lipid mygliol (58,59). Unfortunately, only the SLN findings could be evaluated regarding the critical issue, namely loading capacity (LC) and drug release. LC is the mass of drug loaded (incorporated) in a certain mass of lipid nanocarrier, which could be converted into mass percent. In short, LC is the concentration of the drug loaded in the nanocarrier. This definition is the same as the definition in the referred article, but a slight recalculation was performed due to a different equation (59). Showing an LC of 11.76%, 1.4 mg of SLN equivalent to 158 µg of ivermectin is needed to reach a concentration of 5 µM in 36

ml of alveolar lining fluid.

SLN is converted to a flowable and inhalable powder by freeze-drying or spray drying with the addition of cryoprotectants. This addition causes the drug concentration in the inhalable material to be smaller, which results in an increase in the amount of solid material that must be administered. Usually, the freeze-drying process using selected cryoprotectant increases the particle size due to cryoprotectant deposition on the surface of dried SLN. The addition of cryoprotectant is expected to increase the physical stability of the nanocarrier, especially to reduce the nanocarrier aggregation, leading to particle size enhancement. To obtain the most effective lung deposition, as discussed above, DPI formulations are prepared with refined drug composition in an ideal size of 1-5 µm or below 50 nm (60) adhering to the coarse host.

In general, SLNs are too small particles for DPI formulations since their diameters range from 50-500 nm (61). Particles in this size range are able to access the lungs but show low deposition on the surface of the alveolar lining fluid. The freeze-drying process with the addition of cryoprotectants can increase the range of particle sizes close to ideal conditions for inhalation. This size shift is influenced by the type and concentration of cryoprotectant, and also the type of lipid as the main component of SLN. For instance, while the particle size of freeze-drying product of Dynasan® SLN is independent of the addition of some cryoprotectant (62), SLN containing Compritol® produced freeze-dried particles whose size is determined by the type and concentration of the cryoprotectant. Introducing cryoprotectants glucose, mannose, and maltose in the SLN to a cryoprotectant mass ratio of 1:2 to 1:3 in the freeze-drying process, produced fine particles in the range of 1-2 µm in diameter, while without cryoprotectant, the freeze-drying process produced particles diameter of about 2.5 µm.

As previously discussed, without the addition of cryoprotectant, 1.4 mg of freeze-dried

ivermectin SLN is required for a single dose. Since the ratio of refined drug to coarse host in DPI formulation is 1:1000 to 1:25 (63), about 140 mg of coarse host particle is required, resulting in the total mass of the dosage form being higher than that of a normal DPI preparation. A 1.4 mg of fine drug powder or nanocarrier is above the normal potency of DPI, which is usually in the μg range. Therefore, several approaches should be conducted to overcome this problem as discussed by Farkas and coworkers and briefly listed in Table 2 (64). Novel formulations containing submicron drug particles (less

than 1 μm) and growth-enhancing excipient (EEG) are prepared by, for instance, the spray drying method. The concentration of the drug in this formulation is higher than that of conventional DPI (host-drug particle system). These fine particles are able to pass through the upper respiratory tract, namely the mouth to throat, and access the alveoli. The water uptake by the EEG due to the high humidity of the alveoli induces the growth of particles to a larger size in the lungs, preventing them from the exhalation process (65).

Table 2. Strategy for administering high-dose DPI formulation. Conventional formulation means host-particle formulation. Passive DPI uses the patient's inspiratory airflow to generate aerosol, whereas active DPI uses another source of force.

Method	Device	Formulation	Aerosolization force
Multiple dosing of the DPI formulation	conventional	conventional	active
Loading multiple capsules in the device	conventional	conventional	passive
Single capsule containing a large mass of formulation	in development	conventional	passive
Novel formulation without a host. Submicron particles containing mostly active substance and a small amount of excipient-enhancing growth	in development	in development	active/passive

The drug release of ivermectin from SLN formulation is quite slow due to the lipophilic nature of ivermectin. However, it is still faster than the dissolution rate of ivermectin suspension in the same instrumentation setting. This is probably due to the large particle size of the ivermectin suspension. Complete release of loaded ivermectin takes about one day, during which only about 50% of ivermectin dissolves from the ivermectin suspension (66). In the in vivo setting, the rate of drug release may be faster due to the enzymatic destruction of the nanoparticles (67). In addition, being loaded in SLN does not necessarily mean reduced antiviral activity compared to unbonded drugs. In some cases, loaded drugs show similar antiviral activity compared to free drugs (68) and even higher (69). Therefore, the effect of slow release of ivermectin from SLN on antiviral activity should be assessed prior to in vivo development.

In the aforementioned discussion regarding the various technologies of inhalation administration, nanocarriers primarily play a role in aiding the formulation in reaching the minimum concentration necessary to achieve the MIC of ivermectin for SARS-CoV-2. Additionally, nanocarriers also contribute to concentrating the drug in the alveoli by several mechanisms. The surface of nanocarriers, including nanodroplets, SLN, and NLC, contains the hydrophilic part of surfactant components, which is in agreement in polarity with the aqueous film covering the surface of mucus (70) resulting in a high spread ability of nanocarriers. While the hydrophobic nature (and the particle charge) of ivermectin is hidden in the core of nanocarriers, the surface of nanocarriers could be managed easily in positive charge showing a higher alveolar cell and alveolar surfactant interaction (71). The second mechanism involves the alveolar clearance, a process by which xenobiotics

are eliminated from the alveoli. The alveolar bloodstream absorbs soluble xenobiotics rapidly and delivers them to the systemic circulation. Whereas, the insoluble xenobiotics are engulfed by the macrophage (72). Since nanocarrier is a phase, i.e. insoluble, it is cleared from the alveoli by macrophage engulfment. This mechanism can be hindered by surface modification, for instance by mixing natural or synthetic pulmonary surfactant, i.e. phosphatidylcholine-rich surfactant (73,74), with the ivermectin nanocarriers. Moreover, chemical-physical properties of the drug product preparation can be tailored by nanocarrier systems, for instance surface modification.

Nanocarrier Modification to Increase Lung Concentration

Since SARS-CoV-2 of COVID-19 patients is concentrated mainly on the alveolar surface, the inhalation delivery system of ivermectin is intended for local therapy. Therefore, it is the purpose of this drug delivery system to retain nanocarriers in the lung, instead of allowing the nanocarriers to be absorbed by the blood perfusing the lung. Several surface modifiers, as listed in Table 3, can be attached onto the nanocarriers to reduce drug absorption by blood circulation.

Table 3. Potential substances as targeting agents for the alveoli

Class of molecule	Substance	Mechanism	Reference
Carbohydrate	mannose, mannan, sulfated carbohydrate, galactose, dextran, amylopectin	Interaction with the alveolar macrophage*)	(75)
Protein	fibronectin, collagen		
Glycoprotein	Laminin		
Phosphate	Diacetylphosphate		
Peptide	Amino acid chain: CGSP-GWVRC	Interaction with the lung endothelial cell	(76)
heteropolysaccharide	high-methoxyl pectins	Adhesion to the pleural surface	(77)
	Aminated PVA	In situ polymerization	(78)
	Glutaraldehyde		

*) These targeting substances are effective for non-pulmonary administration in which the drug is on the way to the alveoli. For pulmonary administration, these are not effective since the particle engulfment by alveolar macrophages eliminates the particle from the alveoli.

In the following section, several in vivo studies of the inhalation delivery of ivermectin are discussed. None of them successfully developed a system to deliver ivermectin, reaching an effective lung concentration. Therefore, the application of nanocarriers' surface modifiers as listed in Table 3 for ivermectin delivery via pulmonary needs to be conducted.

In Vivo studies of ivermectin inhalation delivery

An ivermectin solution in ethanol was administered using oxygen flow nebulizing the

solution to 0.5 – 2 µm in size. The droplets were inhaled by female rats at a dose of about 120 mg/kg, and plasma and lung concentrations of ivermectin were determined. The plasma concentration of three rats euthanized at 72, 125, and 168 hours showed the same ivermectin level of 30 ng/ml, whereas in the lungs, the concentration decreased over time, resulting in lung to plasma ratios of 11, 6, and 3, for 72, 125, and 168 hours, respectively [79]. Since the virucidal activity of ivermectin against Sars-Cov-2 in the in vitro test was about 4 µg/ml, the administration did not reach the expected concentration.

In other research, PMDI formulation of ivermectin suspension containing propellant 134a, ethanol, and oleic acid was administered in a pig model at a dose of about 0.2 mg/kg BW. Ivermectin levels in nasopharyngeal, lungs, and plasma were determined at 2, 4, and 6 hours after treatment and were found to be below the effective concentration for inhibiting SARS-Cov-2 [39]. The highest ivermectin concentration was achieved in the nasopharynx, indicating that the droplets may be above the optimal inhalable size for pulmonary delivery.

The safety evaluation of inhalation formulations as nebulizer and PMDI, as discussed above, shows that there were not any signs of toxicity in the lungs [39,79], thereby there is a space for dose enhancement. Although the concentration is below the minimum level of virucidal activity against Sars-Cov-2, inhalation delivery, as exemplified above, has potential for lung targeting due to its high lung-to-plasma ratio. The targeted concentration may be achieved not only by increasing the dose due to the low level of toxicity, but also by optimizing the droplet size. For this purpose, along with the development of preparation methods, the nanocarrier material selection also plays a critical role.

Excipients for ivermectin lung targeted nanocarrier preparation

As previously discussed, SLN and NLC are selected as carriers for ivermectin pulmonary administration as a DPI, whereas nanoemulsion droplets and nanomicelles are prepared for nebulizer formulations. In this subsection, the effect of the material used for nanocarrier formulation on nanocarrier properties is discussed. Amphiphiles (surface active agents/surfactants) are necessary components of these carriers to stabilize nanoemulsion droplets and lipid nanoparticles by reducing surface tension and constructing monomolecular membranes covering the lipoidal phase [80]. Based on the charge of the hydrophilic part, surfactants are classified into four groups, namely nonionic, anionic, cat-

ionic, and amphoteric surfactants. In general, ionic surfactants generate positive or negative zeta potentials of structured nanocarriers due to the localization of the cationic or anionic hydrophilic group of the surfactant on the surface of the nanocarrier. As a result, electro-repulsion between the nanocarriers appears, inhibiting the flocculation or aggregation instability during storage [81]. However, the toxicity issue of ionic surfactants, in particular cationic surfactants except the naturally occurring pulmonary surfactant dipalmitoyl phosphatidylcholine [82–87], has resulted in these types being rarely used for oral formulation nowadays [88]. Therefore, nonionic surfactants producing near-zero zeta potential are preferred for nanocarrier formulation.

In the selection of nonionic surfactants, one should consider a measure of surfactant hydrophilicity, namely the hydrophilic-lipophilic balance (HLB). The HLB value of nonionic surfactants is available elsewhere [89]. The HLB value of the surfactant mixture can be calculated as the sum of the product of the mass fraction and the HLB value of each surfactant. In order to achieve a stable lipid nanocarrier in the desired size, e.g. less than 200 nm, using as low as possible surfactant concentration, the HLB of the surfactant or mixture of surfactants should be equivalent to the O/W required HLB (rHLB) of the composed oils or lipids [80].

The second component of SLN is solid lipid, where the drugs are dissolved. Several groups of lipid phase of SLN are well known, including fatty acid, fatty alcohol, wax (esterified fatty acid with fatty alcohol), di- and triglyceride (glycerol di- and tri fatty acid ester), steroid (for instance cholesterol) as well as natural fats containing a mixture of various glyceride and fatty acid (for instances cocoa butter and goat fat) [90–93]. The lipid types used for SLN formulation determine the physical and biological properties of SLN. One of the important physical properties of SLN is the loading or encapsulation capacity (LC) calculated as the drug concentration in the lipid nanocarrier (usually

as mass percent). Researchers use several methods to study the effect of lipid compounds on LC, either by directly comparing the LC or a parameter affected by LC of a drug in a particular nanocarrier containing various lipids. The last method is exemplified in retinol SLN formulation as follows. By evaluating the chemical degradation of retinol at room temperature, in which the rate of degradation is inversely related to LC, it is concluded that the LC of SLN composed of glyceryl behenate>cetyl palmitate II (amorphous)>tripalmitate>cetyl palmitate I (crystalline) [94]. The first method was applied to measure the LC of nitrendipine by destruction drug drug-loaded SLN using a chloroform/methanol mixture, followed by drug quantification using liquid chromatography. The result showed that the LC of nanocarrier composed of glyceryl tripalmitate>cetyl palmitate>glyceryl monostearate [95]. In another study formulating methotrexate loaded SLN, it was revealed that if the fatty acid chain length is the same, namely stearic acid, the LC of methotrexate loaded in SLN cored by glyceryl monostearate>glyceryl tristearate>stearic acid [96]. Moreover, if the core of SLN is composed only of fatty acids, the longer methylene chain of fatty acids produces a higher LC. For instance, in the encapsulation process of enrofloxacin, the drug LC was ordered by stearic acid C-18>palmitic acid C-16>myristic acid C-14 [97].

The LC is influenced by (a) the solubility of the drug in molten lipid (about 5 degrees above the melting point) and (b) the crystal packing and crystallinity of the selected lipid under the storage conditions. The solubility of drugs in a given solvent, including the liquid lipids, which can be predicted using the Hansen solubility parameter, is the physico-chemical property of the drug [98,99]. Thus, by choosing a particular lipid or mixture of lipids, based solely on solubility theory, the LC cannot be modified. However, it is mentioned previously that SLN containing amorphous cetyl palmitate showed a higher LC than SLN in the same composition but in the crystalline form of cetyl palmitate [94]. There-

fore, the crystal packing of lipids influenced by the preparation method determines the LC of the drug in SLN. Josep and co-workers predicted that nanocarriers containing a lipid or lipid mixture result in higher drug loading when applying the metastable crystalline form [100]. However, selecting metastable polymorph of lipid or lipids mixture as SLN core to increase LC, for instance by faster crystallization using lower temperature in the SLN preparation [101], is avoided due to the instability issue, i.e. the metastable polymorph (or amorphous form) of SLN core transform to stable one during storage triggers the expulsion of the loaded drug [102,103]. Therefore, it is preferred to increase crystal spacing by mixing several lipids and processing the crystallization slowly to achieve a stable form. Additionally, the SLN cores produced by lipid mixtures may contain more crystal defects (low crystallinity), where more drug can occupy these sites, resulting in a higher LC [90,103–105]. That explains why Compritol® 888 ATO (the mixture of glyceryl mono-, di-, and tribehenate) shows a higher drug loading than Imwitor® 900 (glyceryl monostearate) and Dynasan® 116 (glyceryl tripalmitate) [105].

Liquid lipids are frequently added to solid lipids as a core of nanocarriers to increase the drug loading due to the high availability of crystal defects and the amorphous part of the lipid mixture [106]. The nanocarrier, which is termed as nanostructured lipid carrier (NLC), lies between nanoemulsion droplets (ND) containing only liquid lipid in the core and SLN. Several classes of liquid lipid for ND and NLC include fatty acid (oleic acid, linoleic acid, linolenic acid, palmitoleic acid), ester fatty acid with glycerol (triglyceride: glyceryl tricaprilate, glyceryl trioleate, glyceryl-1-oleate-2,3-dicaprilate), and raw plant oil (palm oil, rice bran oil, virgin coconut oil).

Lung target-oriented delivery using injectable formulation: a glance

A class of carrier systems to load and deliver drugs to the target of action is cellular

carriers, for instance, blood cells, which can only be administered as an injection. White blood cells (WBC), the immune cells, traverse the inter-biological compartment freely to reach the area of inflammation (107), which could be part of the body infected by microorganisms, including SARS-CoV-2. Therefore, loading of ivermectin in the WBC, in particular neutrophil and monocyte, is expected to increase drug delivery to the inflamed lungs (108,109). The phagocytic ability of WBC against particulates is explored in the drug loading process. The drug that is expected to be loaded or bonded is dispersed together with WBC to process the engulfment of the drug nanoparticle by the cellular carrier (110).

A different method is applied for utilizing red blood cells (RBC) as a cellular carrier to increase the lung distribution of active substance, namely RBC-hitchhiking. Instead of loading the drug into the cell, the drug nanocarrier is adsorbed on the surface of the RBC (111). Attaching a homing device, for instance IgG or anti-ICAM-1, on the surface of RBC-nanocarrier hitchhiking system increases the lung-to-liver/spleen ratio compared to the corresponding antibody-modified nanoparticle formulation (112). It is possible for several ivermectin-nanocarrier systems, as aforementioned above, to be adsorbed on the surface of RBC to increase lung distribution.

Conclusion

Ivermectin, an established anthelmintic agent, is being investigated for the potential treatment of COVID-19, a new disease caused by SARS-CoV-2 infection that emerged in 2019. Preclinical studies in animal models have demonstrated that this drug shows promising outcomes in preventing and curing SARS-CoV-2 infection, consistent with in vitro test results indicating the drug's ability to reduce the proliferation of SARS-Cov-2. However, the lack of clinical trial evidence has prevented the WHO and some local and regional health authorities from issuing approval for the use of ivermectin

in the treatment of COVID-19. The research to level up ivermectin from in vitro-in vivo anti-SARS-CoV-2 evidenced drug to be formally used in COVID-19 patients should be conducted, particularly the development of inhalation drug delivery, involving nanocarrier systems. The research should focus on the development of ivermectin nanoemulsion and nanomicelle dispersion in water for nebulizer and pressurized metered dose inhalers (PMDI) administration, expanding to foster Dry Powder Inhalers (DPI) formulation containing freeze-drying product of ivermectin-SLN or -NLC. Additionally, size-specific spherical ivermectin powder prepared by particle engineering also has the potential to be aerosolized by DPI technology. This systematic research will trigger the success of ivermectin-targeted delivery to SARS-Cov-2 in the lungs of COVID-19 patients.

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AB contributed to all the work concerning this manuscript

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